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ATTORNEY DOCKET NO. 040894-7448

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	)	
	)	
Etsuo KAWATE	)	
	)	
Application No.: 10/579,781	)	Group Art Unit: Unassigned
	)	
Filed: May 18, 2006	)	Examiner: Unassigned
	)	
For: <b>METHOD AND APPARATUS FOR</b>	)	
<b>MEASURING A COMPLEX</b>	)	
<b>DIELECTRIC CONSTANT OF A</b>	)	
<b>SAMPLE BY OPTICAL SPECTRAL</b>	)	
<b>MEASUREMENT</b>	)	

Commissioner for Patents  
**MAIL STOP AMENDMENT**

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached PTO-1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

A copy of each listed document is attached. Applicant respectfully requests that the Examiner consider the listed documents and evidence that consideration by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed documents are material or constitute "prior art." If it should be determined that the listed documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the Office the relevant facts and law regarding the appropriate status of such documents. Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed

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invention over the listed documents, should the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 50-0310.

Respectfully submitted,

**MORGAN, LEWIS & BOCKIUS LLP**

A handwritten signature in black ink, appearing to read 'RJG', is written over a horizontal line.

Robert J. Goodell, Reg. No. 41,040

Date: September 21, 2006

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**INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

**PTO Form 1449**Attorney Docket No.  
040894-7448Serial No.  
10/579,781Applicant  
Etsuo KAWATEFiling Date  
May 18, 2006Group  
Unassigned**U.S. PATENT DOCUMENTS**

*Examiner Initial	Document Number	Date	Name	Class	Sub Class	Filing Date

**FOREIGN PATENT DOCUMENTS**

	Document Number	Date	Country	Class	Sub Class	Translation	
						YES	NO
	01/65239	Sept. 7, 2001	WIPO			N/A	

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	Stephen E. Ralph et al., "Terahertz Spectroscopy of Optically Thick Multilayered Semiconductor Structures," <i>Journal of the Optical Society of America</i> , Vol. 11, No. 12, December 12, 1994, pp.2528-2532.
	Etsuo Kawate et al., "Expanding Optical Measurement Methods of Thin Films in the Far-infrared Towards the Lower Frequency Region," <i>THz 2003 Technical Digest</i> , 11th International Conference on Terahertz Electronics, p. 129, September, 2003.

Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.